



远源證券有限公司

Theia Securities Limited

SFC Licensed Corporation 證監會持牌法團 (CE# 中央編號: BJW079)

Suite 5103, 51/F, Central Plaza, 18 Harbour Road, Hong Kong

香港灣仔港灣道 18 號中環廣場 51 樓 5103 室

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### H.K. Stock Commission and Charges Table 港股佣金及收費表

Effective Date 生效日期: 29 January 2024

| Services 服務項目  | Charges 收費   | Remarks 備註   |
|--|--|--|
| <b>Stock transaction 股票買賣</b>  |  |  |
| <b>Brokerage commission through electronic systems</b><br>-By internet<br>電子系統交易佣金***<br>-經互聯網落盤 | 0.15% of transaction amount, minimum HK\$50(higher one charged)<br>0.15%或最低港幣 50 元(以較高者計算)   | /  |
| <b>Commission by telephone transaction</b><br>電話人工下單交易佣金***                                      | Maximum between 0.25% of transaction amount and HK\$100.<br>0.25% 或最低港幣 100 元, 以較高者計算  | /  |
| <b>Trading fee (HKEx)</b><br>交易徵費 (港交所)  | 0.00565%   | Calculated according to transaction amount<br>按交易金額計算  |
| <b>Transaction levy(SFC)</b><br>交易徵費 (證監會)   | 0.0027%  | Calculated according to transaction amount   |
| <b>Transaction levy(FRC)</b><br>交易徵費 (財匯局)   | 0.00015%   | 按交易金額計算  |
| <b>Accrual interest(HK\$/RMB)</b><br>帳戶結欠利息(港幣/人民幣):<br>- Cash account<br>現金帳戶                   | P+5% p.a.  | Daily interest by simple compound<br>以簡單利息按日計算   |
| <b>Investor compensation levy</b><br>投資者賠償徵費   | N/A<br>不適用   | N/A<br>不適用   |
| <b>Stamp duty</b><br>印花稅   | 0.10% of transaction amount (rounded up to the nearest dollar)<br>每宗交易金額之 0.10%(不足一元亦作一元計)   | Below HK\$1,000 transaction amount treats as HK\$1,000.<br>成交金額不足港幣(或等值港幣)1 千元,作港幣 1 千元計算  |
| <b>Settlement fee</b><br>交收費***  | 0.006% (in which 0.002% collect for CCASS) of transaction amount, min. HK\$5 max.HK\$200.<br>0.006% (當中 0.002%代香港結算收取); 最高港幣 200 元; 最低港幣 5 元 | Calculated according to Transaction amount<br>按交易金額計算  |
| <b>Stock deposit and withdrawal 股票提存</b>   |  |  |
| <b>Scrip deposit</b><br>實貨存入   | Stamp duty per transfer:HK\$5.00<br>釐印費 (按每張股票/轉手紙計)每張港幣 5 元   |  |
| <b>S.I. withdrawal</b><br>交收指示提取   | HK\$5 per stock per lot, min HK\$100.<br>每隻每手港幣 5 元, 最低港幣 100 元  | Per lot calculation (input handling fee HK\$150 from bank instant transfer by brokerage firm)不足 1 手,作 1 手計算 (經銀行即時過數行家,每項輸入收費港幣 150 元) |



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| <b>S.I. deposit</b><br>交收指示存入  | Waived<br>免費  | HK\$150 per bank instant transfer by brokerage firm.<br>使用銀行即時過數行家, 每項輸入收費港幣 150 元                                       |
| <b>I.S.I. deposit or withdrawal</b><br>投資者交收指示存入或提取  | Waived<br>免費  | /  |
| <b>Physical scrip withdrawal</b><br>實貨提取   | HK\$5 per stock, min. HK\$100.<br>每隻每手港幣 5 元, 最低港幣 100 元  | Per lot calculation.<br>不足 1 手, 作 1 手計算  |
| <b>Other services 其他服務</b>   |   |  |
| <b>Wire transfer fee</b><br>電匯轉帳收費   | Per transaction \$300<br>手續費每次港幣 300 元  |  |
| <b>local Transfer</b><br>本地轉帳  | <=HK\$1,000,000.00 handling charge \$50<br>HKD1,000,000.00 以下金額, 手續費每次港幣 50 元<br>>HK\$1,000,000.00 handling charge \$100<br>HKD1,000,000.00 以上金額, 手續費每次港幣 100 元   |  |
| <b>Share transfer fee</b><br>過戶費   | HK\$1.5 per board lot min HK\$10.<br>每手港幣 1.5 元, 最低港幣 10 元, 如經中央結算系統  | Per lot calculation.<br>不足 1 手, 作 1 手計算  |
| <b>IPO application</b><br>代購新股***  | HK\$100/RMB100.<br>港幣 100 元 / 人民幣 100 元   | /  |
| <b>Cash, scrip dividend, bond interest collection and redemption</b><br>代收現金股息/以股代息/債券利息***和債券贖回 | 0.5% of dividend, min. HK\$20, redemption fee HK\$50.<br>0.5%, 最低港幣 20 元, 贖回費港幣 50 元.   | Calculated on dividend or interest amount<br>按股息/債息金額計算  |
| <b>Bonus Shares Issue</b><br>代收紅股  | HK\$2 per board lot, min. HK\$30, max. HK\$2000.<br>每手港幣 2 元, 最低收港幣 30 元, 最高收港幣 2000 元.   | Odd lots treated as one board lot 碎股作一手計   |
| <b>Dividend claim</b><br>追討股息(每隻股份派息期)***  | 0.5% of dividend amount, min. HK\$20 and handling charge HK\$300 plus CCASS charge HK\$200.<br>0.5%, 最低港幣 20 元, 另手續費港幣 300 元及香港結算收取港幣 200 元手續費                    | Calculated on dividend amount<br>按股息金額計算   |
| <b>Agency service and corporate action</b><br>代理人服務及企業行動***                                      | HK\$100 per stock and HK\$1.5 per board lot min.HK\$10. Corporate Action Fee HK\$0.8 per lot.<br>每隻股票每次手續費港幣 100 元及香港結算收取每手港幣 1.5 元, 最低港幣 10 元. 另企業行動費每手港幣 0.8 元. | Right /warrant issue, privatization, takeover etc. (calculated on board lot basis)<br>代供股權/認股證、私有化、收購等(不足 1 手, 亦作 1 手計算) |



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| <b>Duplicated copy of statement</b><br>補回月結單                                      | HK\$100 for every before six months statement.<br>要求補發 6 個月或之前的月結單, 才要收取手續費港幣 100 元 | Per month calculation (eg.HK\$200 will be charged for two months duplicated copy of statements.<br>以一個月計算(例子:若要求補發 2 個月的月結單, 便收取手續費港幣 200 元, 如此類推) |
| <b>Postage daily or monthly statement</b><br>郵寄形式收取日/月結單行政費                       | HK\$50 per month<br>每月港幣 50 元   | /  |
| <b>Administrative fee for extra certificate document request</b><br>額外要求提供證明文件行政費 | HK\$100 per document<br>每份港幣 100 元  | /  |

\* Above charges and rate only for reference, no notice will be given for any changes

\* 以上收費及息率資料僅供參考, 本公司有權隨時調整以上收費及息率, 而毋須另行通知。

\* Monthly custody fee will be collected in percentage basis according to CCASS charge if the individual stock's custody fee is over \$100.

\* 如個別股份的股票託管費在港幣 100 元或以上會以百分比方式收取。

\*\*\* Relevant fees are charged according to settlement currency

\*\*\* 相關收費以結算貨幣計算。