



远源證券有限公司

Theia Securities Limited

SFC Licensed Corporation 證監會持牌法團 (CE# 中央編號: BJW079)

Suite 5103, 51/F, Central Plaza, 18 Harbour Road, Hong Kong

香港灣仔港灣道 18 號中環廣場 51 樓 5103 室

Tel 電話: (852) 3168 0320 Fax 傳真: (852) 3168 0330 Email 電郵: cs@theiasec.com

Client Identification Data (CID) update form

Account Name : _____ Account No. : _____

Add / Change personal particulars effective from: _____

Please tick the appropriate box

For an individual client:

Your identity document type according to the order of priority requirement of the SFC *	<input type="checkbox"/> 1st priority: HKID <input type="checkbox"/> 2nd priority: PRC ID <input type="checkbox"/> 3rd priority: National identification document <input type="checkbox"/> 4th priority: Passport
Your full name as shown on your identity document English: _____ Chinese: _____	
Your identity document number on the identity document	Your identity document's issuing country or jurisdiction
Are you providing the CID as a trustee? We shall assume you are not a trustee if you have not checked any boxes.	<input type="checkbox"/> Yes <input type="checkbox"/> No

Note: Please provide the CID for all clients named for a joint account

For a corporate client:

Your identity document type according to the order of priority requirement of the SFC *	<input type="checkbox"/> 1st priority: LEI Registration Document <input type="checkbox"/> 2nd priority: Certificate of Incorporation <input type="checkbox"/> 3rd priority: Business Registration Certificate <input type="checkbox"/> 4th priority: Other Equivalent Documents. Please state: _____
Your full name as shown on your identity document English: _____ Chinese: _____	
Your identity document number on the identity document	Your identity document's issuing country or jurisdiction
For a client that is a trust, please indicate your capacity by checking the appropriate box.	<input type="checkbox"/> As trustee <input type="checkbox"/> As asset management company who is the account holder <input type="checkbox"/> As individual fund who is the account holder

I/we hereby confirm that the above information provided is true, accurate and most updated, and I/we shall notify Pacific Foundation Securities Limited as soon as practicable in case any of the above information has been updated.

Authorized Signature(s): (For corporate with Company chop)

S.V.

Date :

* If the identification document (as required under the order of priority requirement of the SFC) is not provided during the account opening process, please provide us with a certified true copy of the identity document. The certifier will need to have seen the original documentation and the certifier shall be PFS's staff member, a Justice of the Peace or a professional person such as a branch manager of a bank, certified public accountant, lawyer or notary public.

For Office Use Only

Prepared by	Signature Checked by	Approved by
Date:	Date:	Date:



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客戶識別信息更新表格

帳戶名稱: _____ 帳戶號碼: _____

新增 / 更改資料之生效日期為: _____

請在適當的方格打上☑號

個人客戶:

根據身份識別文件順序的身份證明文件類別(按證監會訂明的順序)*	<input type="checkbox"/> 第一順序: 香港身份證
	<input type="checkbox"/> 第二順序: 內地身份證
	<input type="checkbox"/> 第三順序: 國民身分證文件
	<input type="checkbox"/> 第四順序: 護照
身份證明文件上所示的全名	
英文: _____	
中文: _____	
身份證明文件號碼	簽發國家或司法管轄區
閣下是否以受托人身份提供客戶識別信息?如沒有勾選任何一格,本公司將假設閣下並非以受托人身份提供客戶識別信息。	<input type="checkbox"/> 是 <input type="checkbox"/> 否

備註:如屬聯名帳戶,請提供聯名帳戶內所有客戶識別信息。

公司客戶:

根據身份識別文件順序的身份證明文件類別(按證監會訂明的順序)*	<input type="checkbox"/> 第一順序: 法律實體識別編碼 (LEI) 登記文件
	<input type="checkbox"/> 第二順序: 公司註冊證明書
	<input type="checkbox"/> 第三順序: 商業登記證
	<input type="checkbox"/> 第四順序: 其他同等文件
	請注明: _____
身份證明文件上所示的全名	
英文: _____	
中文: _____	
身份證明文件號碼	簽發國家或司法管轄區
如客戶為信托,請就貴公司的身份勾選適當的方格。	<input type="checkbox"/> 作為受托人
	<input type="checkbox"/> 作為開立交易帳戶的資產管理公司
	<input type="checkbox"/> 作為開立交易帳戶的個別基金

本人/吾等/本公司確認以上的信息為真實、準確及最新的,如該等訊息有任何更新,本人/吾等/本公司會儘快通知太平基業證券有限公司。

客戶簽署:(如屬公司客戶請連印章)

S.V.

日期: _____

* 如閣下/貴公司在開戶過程中並沒有向本公司(按證監會訂明的順序)提供身份證明文件,請提供最新的身份證明文件的驗證副本。見證人需驗證文件原件。見證人應由太平基業職員、太平紳士或專業人士例如銀行分行經理、執業會計師、律師或公證人加以驗證。

此部份由本公司填寫

製作	簽署查核	批准
日期: _____	日期: _____	日期: _____