



远源證券有限公司

Theia Securities Limited

SFC Licensed Corporation 證監會持牌法團 (CE# 中央編號: BJW079)

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To : Theia Securities Limited
致 : 遠源證券有限公司

Date 日期 : _____
(dd/mm/yy 日/月/年)

Settlement Instruction (SI) / Investor Settlement Instruction (ISI) Form

交收指示 / 投資者交收指示表格

Client Name 客戶名稱 : _____ Account No. 賬戶號碼 : _____

Instruction Details 指示詳情	<input type="checkbox"/> RECEIVE stocks 存入股票	<input type="checkbox"/> DELIVER stocks 提取股票
Settlement Instruction 交收指示	<input type="checkbox"/> Settlement Instruction (SI) 證券行與託管商/結算公司交收指示 <input type="checkbox"/> Investor Settlement Instruction (ISI) 個人投資者交收指示	

Counterparty Name 交易對手名稱 : _____ Settlement Date 交收日期 : _____ (dd/mm/yy 日/月/年)

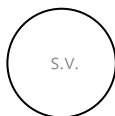
Counterparty/Investor CCASS I.D. No. 交易對手/投資者中央結算代號 : _____ Contact Person 聯絡人 : _____ Tel. No. 電話 : _____

STOCK CODE 股票編號	STOCK NAME 股票名稱	NO.OF SHARES/UNITS 股票數目	PAYMENT INSTRUCTION 付款指示		For Office Use Only
			(DVP 貨款對付 / FOP 無須付款)	HKD 港元	Fees 費用*
All charges in relation to the settlement instruction will be debited from the client's securities account 有關費用從客戶之證券賬戶中扣除				Total 總數 (HKD)	

*Fee 費用 : Previous Closing Price of the Stock Value 股票上日收市價之@0.15% (Min 最低 HKD100)

Purpose 目的 : C (Broker-custodian transaction) 經紀託管商之間交易
 M (Portfolio movement) 證券組合轉移

I/We confirm that there is no change in beneficial ownership through effecting this SI/ISI. I/We hereby agree to indemnify you against any loss or claim result from your action according to my/our instruction. 本人/吾等確認上述股票的實益擁有權並沒有因進行本交收指示/投資者交收指示而出現變動。本人/吾等願意賠償貴公司因執行本人/吾等指示所引致之任何損失。



Please submit the instruction to us on or before **12:00 noon**.
請將指示於**中午 12 時前**交回本行交收部

Client Signature(s) 客戶簽署

For Office Use Only 內部專用	Handled by	Checked by	CCASS	Approved by
	Date:			