



远源證券有限公司

Theia Securities Limited

SFC Licensed Corporation 證監會持牌法團 (CE# 中央編號: BJW079)
15/F, 369 Hennessy Road, Wanchai, Hong Kong 香港灣仔軒尼詩道 15 樓

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H.K. Stock Commission and Charges Table 港股佣金及收费表

Effective Date 生效日期: 3 July 2025

Services 服务项目	Charges 收费	Remarks 备注
Stock transaction 股票买卖		
Brokerage commission through electronic systems -By internet 电子系统交易佣金# -经互联网落盘	0.15% of transaction amount, minimum HK\$100 (higher one charged) 0.15%或最低港币 100 元(以较高者计算)	/
Commission by telephone transaction 电话人工下单交易佣金#	Maximum between 0.25% of transaction amount and HK\$100. 0.25% 或最低港币 100 元, 以较高者计算	/
Trading fee (HKEx) 交易征费 (港交所)	0.00565%	Calculated according to transaction amount 按交易金额计算
Transaction levy(SFC) 交易征费 (证监会)	0.0027%	Calculated according to transaction amount 按交易金额计算
Transaction levy(FRC) 交易征费 (财汇局)	0.00015%	
Stamp duty 印花稅	0.10% of transaction amount 每宗交易金额之 0.10%	Rounded up to the nearest dollar 不足一元亦作一元计
CCASS fee 結算所征费#	0.006% min. HK\$5 max.HK\$200. 0.006%最高港币 200 元; 最低港币 5 元	Calculated according to Transaction amount 按交易金额计算
Accrual interest 账户结欠利息 - Cash account 现金账户	HKD 港币 P+5% p.a. RMB 人民币 P+8% p.a. USD 美元 P+8% p.a.	Calculate by Simple Interest 以简单利息按日计算
Stock deposit and withdrawal 股票提存		
Scrip deposit 实货存入	Stamp duty per transfer:HK\$5. 厘印費 (按每张股票/转手纸计)每张港币 5 元	/
S.I. / I.S.I. withdrawal 交收指示提取	HK\$5 per stock per lot, min HK\$100 max HK\$500 每只每手港币 5 元, 最低港币 100 元最高港幣 500 元	/
S.I. / I.S.I. deposit 交收指示存入	Waived 免費	/
Physical scrip withdrawal 实货提取	HK\$5 per stock per lot, min. HK\$100. 每只每手港币 5 元, 最低港币 100 元	Per lot calculation. 不足 1 手, 作 1 手计算
Other services 其他服务		
FPS 转数快	Per transaction HK\$10 (Maximum withdrawal amount HK\$999,999.99) 手續費每笔港币 10 元 (最多提款港币 999,999.99)	Only available for registered bank accounts in Hong Kong 只供已登记之香港银行账户
CHATS 转账	Per transaction HK\$200 手續費每笔港币 200 元	/
Telegraphic transfer fee 电汇转账	Per transaction \$300 手續費每笔港币 300 元	/



Scrip fee 过户费	HK\$1.5 per board lot min HK\$10. 每手港币 1.5 元, 最低港币 10 元, 如经中央结算系统	Per lot calculation. 不足 1 手, 作 1 手计算
IPO application 代购新股#	Waived 免费	/
Cash, bond interest collection and redemption 代收现金股息/债券利息#和债券赎回	0.5% of dividend or interest amount, min. HK\$20, redemption fee HK\$50. 按股息/债息金额之 0.5%最低港币 20 元, 赎回费港币 50 元.	/
Scrip dividend 以股代息	HK\$2.00 per board lot min HK\$20. 每手港币 2 元, 最低港币 20 元	
Bonus Shares Issue 代收红股	HK\$2 per board lot, min. HK\$30 每手港币 2 元, 最低收港币 30 元	Odd lots treated as one board lot 碎股作一手计
Dividend claim 追讨股息(每只股份派息期)#	0.5% of dividend amount, min. HK\$500 按股息金额之 0.5%, 最低港币 500 元	/
Corporate action (Right/warrant issue, privatization, takeover etc.) 企业行动 (代供股权/认股证、私有化、收购等)	HK\$100 per stock and HK\$1.5 per board lot min.HK\$10. 每只股票每次手续费港币 100 元及香港结算收取每手港币 1.5 元, 最低港币 10 元.	Calculated on board lot basis 不足 1 手, 亦作 1 手计算
Duplicated copy of statement 补回月结单	Reissue of e-statements within the past 6 months (Free of Charge) Reissue of e-statement prior to 6 months ago will incur a monthly service fee of HK\$100 per statement 补发 6 个月内的电子月结单 (免费) 补发 6 个月前之电子月结单收取每月手续费港币 100 元	Per month calculation (eg.HK\$200 will be charged for two months duplicated copy of statements. 以一个月计算(例子:若要求补发 2 个月的月结单, 便收取手续费港币 200 元, 如此类推)
Postage daily or monthly statement 邮寄形式收取日/月结单行政费	HK\$50 per month 每月港币 50 元	/
Administrative fee for extra certificate document request 额外要求提供证明文件行政费	HK\$100 per document 每份港币 100 元	/

* Monthly custody fee will be collected in percentage basis according to CCASS charge if the individual stock's custody fee is over \$100.

* 如个别股份的股票托管费在港币 100 元或以上会以百分比方式收取。



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US Stock Commission and Charges Table 美股佣金及收費表

Services 服務項目	Charges 收費	Remarks 備註
Stock transaction 股票買賣		
Brokerage commission through electronic systems -By internet 電子系統交易佣金# -經互聯網落盤	US\$0.02 per share, minimum US\$2.00 of transaction (maximum 0.5% of transaction) 每股 0.02 美元或最低每宗交易 2.00 美元 (最高每宗交易之 0.5%)	/
Clearing Fee 交收費	US\$0.003 per share, minimum US\$0.01 of transaction 0.003 美元或最低每宗交易 0.01 美元	/
Transaction Activity Fee (TAF) 交易活動費	US\$0.000166 per share, minimum US\$0.01 of transaction (maximum US\$8.30 of transaction) 每股 0.000166 美元或最低每宗交易 0.01 美元(最高每宗交易 8.30 美元)	Applicable to Sell orders only 僅對賣單收取
Other services 其他服務		
Dividend Collection Tax 代收股息稅	2% of dividend amount (minimum US\$3.00) 按股息金額之 2%, 最低 3.00 美元	The tax rate may vary depending on the nature of the listed securities and/or the location of the foreign resident and/or the tax policies of the country where the listed company is registered (automatically withheld at the time of distribution). 稅率會隨上市證券性質 及/或 外國居民所在地 及/或 上市公司註冊國家稅務政策而變化 (均在派發時自動預扣)
Shares Transfer Deposit 美國證券轉入費用	US\$30 + 0.04% per Market Value 每宗 30 美元 + 股值之 0.04%	
Shares Transfer Withdrawal 美國證券轉出費用	3% per Market Value 股值之 3%	
ADR Convert to HK Securities 由美股轉換到港股	US\$1,000.00 per Stock + Transfer Fee US\$5.00 per Stock (including upstream fees) + Bank Charges 每只股票 1000 美元 + 轉換費每只股票每手 5 美元 (含上游收費) + 銀行收費	



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US SMALL CAP Stock Commission and Charges Table 美股小型證券佣金及收費表

Services 服務項目	Charges 收費	Remarks 備註
Stock transaction 股票買賣		
Brokerage commission 交易佣金	US\$0.04 per share, minimum US\$25.00 每股 0.04 美元或最低每宗交易 25.00 美元	/
Other services 其他服務		
Dividend Collection Tax 代收股息稅	2% of dividend amount (minimum US\$3.00) 按股息金額之 2%，最低 3.00 美元	The tax rate may vary depending on the nature of the listed securities and/or the location of the foreign resident and/or the tax policies of the country where the listed company is registered (automatically withheld at the time of distribution). 稅率會隨上市證券性質 及/或 外國居民所在地 及/或 上市公司註冊國家稅務政策而變化（均在派發時自動預扣）
Shares Transfer Deposit (DTC) 美國證券轉入費用(DTC)	US\$20 + 0.05% per Market Value 每宗 20 美元 + 股值之 0.05%	
Shares Transfer Deposit (Fpr 3rd Party Transfer Agent Only) 美國證券轉入費用 (第三方過戶代理人)	US\$40 + 0.05% per Market Value 每宗 40 美元 + 股值之 0.05%	
Shares Transfer Withdrawal 美國證券轉出費用	3% per Market Value 股值之 3%	
Custodian Fee 存倉費用	Market Value 0.09% per month (Less than a month counts as a month) 每月總值之 0.09% (不足一個月當一個月)	不同證券收費視乎不同證券等級，請聯絡本公司查詢。 The fees for different securities depend on the different securities grades. Please contact us for enquiries

* Above charges and rate only for reference, no notice will be given for any changes

* 以上收費及息率資料僅供參考，本公司有權隨時調整以上收費及息率，而毋須另行通知。

**匯率只供參考，客戶需自行承擔風險，實際匯率以結單為準

The exchange rate displayed on the trading APP is for reference only. Clients are responsible for the exchange rate risks.

The actual transaction exchange rate is confirmed on the statement.

Relevant fees are charged according to settlement currency

相關收費以結算貨幣計算